

Following activities undertaken by JP Morgan India Private Limited are not regulated by SEBI .None of the SEBI investor protection mechanisms will be available for any grievances or disputes arising out of or pertaining to the below non-SEBI regulated activities.

- M&A
 - Sell-side and buy-side advisory for unlisted companies
 - Equity private placement for unlisted companies
 - Advisory on merger/demerger for unlisted companies
 - Strategic/corporate advisory other than managing acquisitions and takeovers involving Indian public listed companies
 - Open offer or delisting outside India
 - Valuation and fairness opinions for unlisted companies
- ECM
 - Equity private placement for unlisted companies (including convertibles, preference shares, etc.)
 - Pre-IPO secondary transactions or transactions prior to filing DRHP
 - Any public market transaction outside India
- DCM / Financing
 - Foreign currency loans
 - Ratings advisory – Indian and international
 - Unlisted PTCs
 - Unlisted INR bonds
- Research
 - Research Analyst or Research Services for non-Indian securities